DEPARTMENT OF THE NAVY



OFFICE OF THE SECRETARY

1000 NAVY PENTAGON
WASHINGTON DC 20350-1000

SECNAVINST 5510.35A N09N2

26 JUNE 2002

SECNAV INSTRUCTION 5510.35A

From:

Secretary of the Navy

To:

All Ships and Stations

Subj: N

NUCLEAR WEAPON PERSONNEL RELIABILITY PROGRAM (PRP)

Ref:

- (a) DoD 5210.42-R of 8 Jan 2001 (NOTAL)
- (b) SWOP 25-2 (Naval Atomic Planning, Support and Capabilities Listing) (NOTAL)
- (c) DoD Instruction 1215.19 of 12 Dec 00 (NOTAL)
- (d) DoD Directive 1010.4 of 3 Sep 97 (NOTAL)
- (e) JOINT PUBLICATION 1-04 (NOTAL)
- (f) SECNAVINST 5510.30A
- (q) SECNAVINST 5520.3B
- (h) Catalog of Navy Training Courses (CANTRAC)
- (i) NAVPERS 15909G (Enlisted Transfer Manual)

Encl:

- (1) Definitions
- (2) Listing of Typical PRP Positions
- (3) Reliability Standards and Application
- (4) Screening and Certification Procedures
- (5) Continuous Evaluation
- (6) Decertification/Reinstatement Procedures
- (7) Contractor Requirements for the PRP
- (8) Instructions for Preparation of Annual Status Report for PRP
- 1. <u>Purpose</u>. To implement reference (a) providing policy and guidance for administration of the Nuclear Weapon Personnel Reliability Program (PRP) and amplifying the provisions of references (b) through (g) pertaining to the selection and evaluation of personnel assigned to duties involving nuclear weapons. This instruction is a substantial revision and should be reviewed in its entirety.
- 2. <u>Cancellation</u>. SECNAVINST 5510.35 and report symbol OPNAV 5510-24.
- 3. <u>Definitions</u>. Definitions of terms used in this instruction are listed in enclosure (1).

4. Applicability

a. The PRP is applicable to Department of the Navy (DON) active duty, reserves, civilian personnel and contractor employees of units possessing nuclear weapons or Nuclear Command and Control systems and equipment, and any activity identified as a "nuclear

systems and equipment, and any activity identified as a "nuclear capable activity" by reference (b), and/or when in training leading to assignment to PRP positions. Enclosure (2) lists typical PRP positions.

b. Although strict adherence to PRP procedures during transition to war or during wartime may be impractical, particularly in a combat theater, the intent of PRP policy will apply. Commanding officers, while not encumbered with the administrative controls of the PRP, must continue to apply their best professional judgment and select only the most trusted individuals to perform nuclear weapon duties.

5. Policy

- a. It is Department of Defense (DoD) and DON policy to support the national security of the United States by maintaining an effective nuclear deterrent while protecting the public health, safety, and environment. It is imperative that special consideration be given to nuclear weapons because of their destructive power, military importance, policy implications, and the potential consequences of a nuclear accident. The safety, security, control, and effectiveness of nuclear weapons are of paramount importance to the security of the United States.
- b. It is DoD and DON policy to ensure nuclear weapons are not subject to loss, theft, sabotage, unauthorized use, unauthorized destruction, unauthorized disablement, unintentional jettison, or accidental damage.
- c. The PRP is designed to assure only those personnel who have demonstrated the highest degree of individual reliability, trustworthiness, personal conduct, and integrity are permitted to perform duties associated with nuclear weapons. Those performing nuclear weapons duties will be continuously evaluated for adherence to PRP standards. This assurance is accomplished through careful screening and selection, education, continuous evaluation, and prompt removal of personnel from the PRP who no longer meet the high standards prescribed.
- d. Only U.S. citizens shall be assigned to PRP positions. (See definition, enclosure (1)).
- e. The determination that an individual is unacceptable for assignment to a PRP position in and of itself is neither a

punitive measure nor the basis for disciplinary action. The failure of an individual to be certified for assignment to PRP duties does not necessarily reflect unfavorably on the individual's suitability for assignment to other duties. However, decertification action does not bar disciplinary or administrative measures otherwise appropriate for the underlying conduct or incident.

f. The PRP will be periodically reviewed and evaluated. Reviews will include all aspects of the program as established by this instruction and reference (b). For nuclear capable commands this requirement is satisfied during Defense Nuclear Surety Inspections (DNSI) and Navy Technical Proficiency Inspections (NTPI). For commands not identified as nuclear capable by reference (b), PRP reviews shall be performed by an appropriate senior in the chain of command at intervals not to exceed 24 months.

6. Responsibilities

- a. Chief of Naval Operations (CNO) (N09N2) is responsible for overall PRP policy guidance and administration within the DON to include issuing updates, reminders, and other refresher information.
- b. Commandant of the Marine Corps (CMC) (POS-30) is responsible for guidance and explanation of PRP policy for Marine Corps activities and will ensure proper disposition of Marine Corps decertification actions. CMC (MMEA) will provide support to commanding officers and activity heads with respect to PRP-related personnel actions involving Marines (e.g., reassignments, rate or Military Occupational Specialty (MOS) conversions when necessary or required).
- c. Chief of Naval Personnel (CHNAVPERS) will provide support to commanding officers and activity heads with respect to PRP-related personnel actions (e.g. reassignments, rate or Navy Enlisted Classification (NEC) code conversions when necessary or required).
- d. Chief, Bureau of Medicine and Surgery (CHBUMED) will ensure that medical personnel assigned to the operating forces of the Navy and Marine Corps, or otherwise assigned responsibility for the PRP have been instructed in the purpose of the PRP and their roles in support of PRP requirements.

e. Commanding officers and activity heads are responsible for PRP integrity and effectiveness within their command, to include insuring reviewing officials, certifying officials, PRP monitors, Competent Medical Authority (CMA) and other medical personnel supporting PRP assessments are briefed in their PRP responsibilities. Sound judgment, effective leadership and continual monitoring of day-to-day PRP application will result in successful PRP implementation.

7. Procedures

- a. Each nuclear weapons certified ship, unit, activity or nuclear weapons capable ship, will formally designate a PRP certifying official in writing to manage the requirements of this program and to certify individuals for nuclear weapons assignment. Commands may designate a PRP monitor to assist the certifying official in day-to-day administration.
- b. Certifying officials will identify each PRP position as either critical or controlled as defined by enclosures (1) and (2). The number of positions will be restricted to the minimum required to accomplish the mission.
- (1) Only properly certified personnel will be assigned to PRP positions.
- (2) When PRP positions become vacant, certified personnel will be assigned as rapidly as possible.
- (3) Certifying officials will reevaluate designated PRP positions at least annually to determine the need for additional positions or the cancellation of unnecessary positions. When specific positions are designated as PRP positions by higher authority, the higher authority is responsible for conducting the annual review of the positions they designate.
- (4) Procedures will be established to ensure that at least one of the individuals satisfying the two-person policy has final PRP certification to preclude simultaneous use of two interim certified individuals.
- c. Certifying officials will certify on the PRP Screening and Evaluation Record, OPNAV 5510/414 (3-94) that individuals occupying PRP critical and controlled positions have the required security investigation and clearance and meet the requirements and

reliability standards of enclosure (3).

- d. The PRP Screening and Evaluation Record, OPNAV 5510/414 (3-94), will be documented in accordance with the detailed instructions provided in Appendix (A) to enclosure (4). Although OPNAV 5510/414 replaced NAVPERS 5510/3 (3-75) screening form in the Navy forms system, NAVPERS 5510/3 forms currently in use need not be replaced until screening or rescreening makes replacement convenient. References made to OPNAV 5510/414 in this regulation also imply NAVPERS 5510/3. Once certified, the procedures for continuously evaluating certified personnel contained in enclosure (5) will apply.
- e. When information is developed that raises questions regarding an individual's eligibility to continue to perform PRP duties, a decertification may be appropriate. Detailed instructions for decertification procedures are in enclosure (6).
- f. Specific requirements for DON contractor employees performing PRP duties are provided in enclosure (7). DON contractors may perform the duties of a PRP position only if specifically authorized by CNO (N09N2).
- g. The issuance of this instruction does not, in itself, require the recertification of personnel who are assigned in PRP positions.
- h. Commanding officers and activity heads will ensure the appropriate initial and refresher training has been provided to reviewing and certifying officials and PRP monitors as appropriate. This initial and refresher training may be accomplished via PRP-related formal courses, on-the-job training, newsletters, written guidance, or briefings. Refresher training will be conducted annually and may be accomplished by review of the PRP guidance overview found at www.navysecurity.navy.mil and documented.
- 8. <u>Action</u>. Commanding officers or activity heads will ensure compliance with the provisions of this instruction.
- a. Permanent PRP decertification letters will be forwarded to CNO (N09N2) for Navy personnel and to CMC (MMEA-85) for Marine Corps personnel with a copy to CNO (N09N2). Detailed instructions and examples are included in Appendix (A) to enclosure (6).

Marine Corps PRP commands will also submit a copy of their report to CMC (POS-30). Detailed instructions and required reporting format are in enclosure (8).

- c. Requests for waivers to PRP requirements will be submitted via chain of command to CNO (N09N2) for consideration.
- d. CNO (N09N2) will provide a copy of approved waivers of continuous evaluation to Assistant Secretary of Defense for Command, Control, Communications, and Intelligence (ASD(C3I)) for review on a quarterly basis.
- e. PRP-related forms, reports, letters and records may require protective markings. Refer to SECNAVINST 5510.36 and SECNAVINST 5720.42F for guidance concerning the identification and proper marking of "For Official Use Only" information (e.g., social security numbers).

9. Reports and Forms

- a. Report symbol DD-C3I (A) 1403(5510) is assigned to the annual status report and is approved for 3 years from the date of this instruction.
- b. The following forms are available through the Navy supply system per CD ROM NAVSUP PUB 600 (NLL):

OPNAV 5510/414 (3-94), Personnel Reliability Program (PRP) Screening And Evaluation Record, S/N 0107-LF-017-6700,

OPNAV 5510/415 (3-94), Record Identifier For Personnel Reliability Program, S/N 0107-LF-017-6800.

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Assistant Secretary of the Navy (Manpower and Reserve Affairs)

Distribution: SNDL PARTS 1 and 2 MARCORPS PCN 71000000000 and 71000000100 Distribution: SNDL PARTS 1 and 2 MARCORPS PCN 71000000000 and 71000000100

DEFINITIONS

- 1. Access. Opportunity to tamper with or modify a nuclear weapon, critical nuclear weapon system component or positive control/Nuclear Command and Control (NC2) material. A person is not considered to have access if escorted and/or under observation by individual(s) capable of detecting unauthorized or incorrect actions.
- 2. Active Service. For assignment to the Personnel Reliability Program (PRP), active service is: active duty in the U.S. military; employment in the Federal Civil Service, employment by a U.S. Government contractor involving access to classified information under the National Industrial Security Program; continuous Federal service; service as a cadet or midshipman in the Military, Naval, Air Force or Coast Guard academy; members of the Selected Reserve as defined in reference (c). For PRP purposes, the following apply:
- a. An interruption in active service of over 24 months constitutes a break in service.
- b. It is not the intent of this regulation to allow repeated, short-term certifications into the PRP. Personnel must remain PRP certified while assigned to a PRP position in order to afford the certifying official the opportunity for continual evaluation. Personnel must meet at the minimum, the standards outlined in enclosure (5), paragraph 1.a., if permanent duty assignments do not permit routine observations on a day-to-day basis.
- c. Assignment to a Reserve Officers Training Corps, the Merchant Marine Academy, or a Maritime Academy as a cadet or midshipman is not considered to be active service.
- 3. Agency and Installation PRP Monitor. An individual in the military grade of E-5 or above, or a civilian employee in the equivalent grade (GS-7 or above), appointed to assist the certifying official in the administration and day-to-day functions of the PRP at DON commands. This individual reports directly to the PRP certifying official.

- 4. Alcohol Abuse. The use of alcohol to an extent that it has an adverse effect on the user's health, behavior, family, community, or the DON and/or leads to unacceptable behavior as evidenced by one or more acts of alcohol-related misconduct and/or the illegal use of such substances. (See reference (d)). Alcohol abuse may include a diagnosis of alcohol dependence or alcohol abuse, or may be demonstrated by an alcohol-related incident. (See enclosure (3), paragraph 2a(5), for specific guidance on pre-service alcohol-related issues.)
- 5. <u>Alcohol-Related Incident.</u> Any substandard behavior, performance, or misconduct in which the consumption of alcohol by the individual is a contributing factor as determined by the certifying official in consultation with the Competent Medical Authority (CMA) (e.g., intoxicated driving, domestic disturbances, assault, disorderly conduct, personal injury, underage drinking, etc.)(See enclosure (3), paragraph 2a(5) for specific guidance on pre-service alcohol-related issues.)
- 6. Access National Agency Check with Written Inquiries (ANACI). A personnel security investigation for access to classified information conducted on civil servant employees by the Office of Personnel Management (OPM), including a NAC and written inquiries to law enforcement agencies, former employers and supervisors, references, schools and credit check.
- 7. Armed. Equipped with a loaded firearm.
- 8. <u>Central Adjudication Facility (CAF)</u>. The Department of the Navy Central Adjudication Facility (DON CAF) designated by the Secretary of the Navy to evaluate personnel security investigations (PSI) and other relevant information and issue personnel security determinations.
- 9. <u>Certifying Official</u>. The DON military or civilian employee designated in writing by the commanding officer or agency head as responsible for determining the acceptability of personnel assigned to nuclear weapons duties. The certifying official's position must allow sufficient personal contact with all command PRP personnel to permit continual evaluation of their performance and reliability. When contractor personnel are performing PRP duties, the certifying official will be the military or civilian

official designated in the contract to certify acceptability of the contractor personnel.

- 10. <u>CMA</u>. A U.S.-military privileged (licensed) healthcare provider or a U.S.-privileged (licensed) healthcare provider employed by, or under contract/sub-contract to the U.S. Government or U.S. Government contractor, specifically trained and designated by the medical treatment facility commanding officer/officer in charge who is responsible for review of healthcare services or conducting clinical evaluations for purposes of the PRP.
- 11. <u>Continuing Evaluation.</u> The process by which a PRP-certified individual is observed for compliance with reliability standards. This is an on-going process that considers duty performance, and on-and-off-duty behavior and reliability.
- 12. <u>Controlled Position</u>. A position whose incumbent, because of assigned nuclear duties:
 - a. Has access, but no technical knowledge; or
- b. Controls entry into areas containing nuclear weapons, nuclear components, or nuclear certified computer data, but does not have access or technical knowledge; or
- c. Is armed and/or assigned duty for nuclear weapon security that would afford the opportunity to inflict damage to the weapon or, when joined, to its delivery system; or
- d. Has been designated as a "certifying official" at operational unit or staff activities with only designated controlled PRP positions.
- 13. Counterintelligence Scope Polygraph (CSP) Examination. A polygraph examination in which the scope of the relevant questioning is restricted to specific counterintelligence topics.
- 14. <u>Critical Position</u>. A position whose incumbent, because of assigned nuclear duties:
 - a. Has access and technical knowledge; or

- b. Can either directly or indirectly cause the launch or use of a nuclear weapon; or
- c. Controls access to or uses positive control materials or accountability devices such as sealed authentication systems, Permissive Action Link (PAL) materials and related codes, strategic and tactical Nuclear Certified Computer Data (NCCD), nuclear targeting tapes or materials, emergency action messages, or release procedures for nuclear weapons; or
- d. Has been designated as a "certifying official" at operational unit or staff activities with designated "critical PRP" positions.
- 15. <u>Decertification</u>. An action based on the receipt of disqualifying information to remove from the PRP an individual who was previously screened, determined reliable, and certified capable of performing duties involving nuclear weapons.
- 16. <u>Disqualification</u>. An action taken based on the receipt of disqualifying information to terminate the PRP qualification process of an individual considered for, or in training leading to the assignment to, duties involving nuclear weapons.
- 17. <u>DoD Personnel</u>. Active duty military personnel, members of the Selected Reserve, civilian employees of the DoD, or for PRP purposes, DoD contractors and their employees.
- 18. <u>Drug Abuse</u>. The wrongful use, possession, distribution or introduction onto a DON activity of a controlled substance, prescription medication, over-the-counter medication, or intoxicating substance (other than alcohol). ("Wrongful" means without legal justification or excuse, and includes use contrary to the directions of the manufacturer or prescribing healthcare provider, and use of any intoxicating substance not intended for human ingestion). (See enclosure (3), paragraph 2b for specific guidance).
- 19. Entrance National Agency Check (ENTNAC). A Personnel Security Investigation (PSI) conducted in the same manner as a National Agency Check (NAC) consisting of a records review of certain national agencies to include, as a minimum, a check of the Defense Clearance and Investigations Index (DCII), the Federal

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Bureau of Investigation (FBI) headquarters records, and a name check of the FBI identification records.

- 20. Exclusion Area. A designated area immediately surrounding one or more nuclear weapons and/or systems. Normally, the boundaries of the area are the walls, floor, and ceiling of a structure, or are delineated by a permanent or temporary barrier. In the absence of positive preventive measures, entry into the exclusion area constitutes access to the nuclear weapons and/or systems.
- 21. <u>Limited Area</u>. A designated area immediately surrounding one or more exclusion areas and the outer or inner barrier or boundary of the perimeter security system.
- 22. <u>National Agency Check (NAC)</u>. A PSI which includes, as a minimum, a check of the DCII, the FBI Headquarters records and a technical fingerprint search of FBI/ID files.
- 23. NAC with Local Agency Checks and Credit Checks (NACLC). A PSI covering the past 5 years and consisting of a NAC, verification of date and place of birth, and a check of local law enforcement agencies and a review of credit records.
- 24. <u>Nuclear Certified Computer Data (NCCD)</u>. Media containing nuclear mission or launch control data defined by reference (e). PRP requirements begin with the certification of the original nuclear certified computer media master and end with the destruction or decertification of the master or any certified copy.
- 25. <u>Nuclear Command and Control (NC2) Materials.</u> Materials and devices used in the coding and authentication processing and communication medium necessary to transmit release, execution, or termination orders; and nuclear weapons targeting tapes or media containing nuclear weapons targeting data.
- 26. <u>Nuclear Command and Control (NC2) Positions.</u> The following are definitions and examples of NC2 positions:
- a. Positions that provide personnel with access to NC2 coding and authentication processes and a communications medium necessary to transmit nuclear release, transfer, execution, or

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termination orders.

Example: Unified and/or Specified Commanders in Chief (CINC), Commander in Chief, United States Naval Forces Europe (CINCUSNAVEUR) nuclear command center emergency action officers who decipher emergency action messages that direct/redirect nuclear force execution.

b. Positions in which personnel are involved in the preparation and production of NC2 coding and authentication documents and equipment.

Example: Unified and/or Specified CINC or headquarters personnel with access to nuclear weapons operational codes master lists/tapes and/or numerical master lists of combinations and or responsibility for quality assurance, and final verification.

c. Positions involved in the preparation and production of nuclear weapons targeting tapes and materials.

Example: Selected personnel who certify TOMAHAWK Land-Attack Missile/Nuclear (TLAM/N) mission/targeting tapes or Launch Control Center Data (LCCD).

- 27. <u>Periodic Reinvestigation (PR)</u>. An investigation conducted at specified intervals for updating a previously completed PSI.
- 28. Personnel Security Investigation (PSI). Any investigation required for determining the eligibility of DoD military or civilian personnel and contractor employees for access to classified information, acceptance or retention in the armed forces, or assignment to and retention in sensitive duties.
- 29. <u>Positive Control Materials or Devices</u>. Sealed Authenticator Systems (SAS), Permissive Action Link (PAL), Coded Switch Systems (CSS), Positive Enable Systems (PES), or Nuclear Certified Computer Data (NCCD) materials or devices.
- 30. <u>Potentially Disqualifying Information (PDI)</u>. Any information regarding, but not limited to, a person's physical, mental, emotional status, conduct or character, on-and-off-duty, that may cast doubt about that individual's ability or reliability to perform nuclear-related duties.

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- 31. Proper Medical Authority (PMA). Any military or civilian provider authorized and/or licensed to practice medicine and to write prescriptions by Federal and/or State law; or its equivalent if the individual received treatment outside of the United States. Treatment of PRP personnel performed by PMAs must be reviewed by CMAs when new potentially disqualifying information is present.
- 32. PRP Administrators. Individuals who administer and assist in the administration of the PRP. (i.e. reviewing officials, certifying officials, PRP monitors, CMAs and other medical personnel assigned to assist in administering the PRP.)
- 33. Random Urinalysis Testing. A program of substance abuse testing where each member of the tested population has an equal chance of being selected. Random testing may be either testing of designated individuals occupying a specified area, element or position, or random testing of those individuals based on a neutral criterion, such as a digit of the social security number.
- 34. Reviewing Official. The commanding officer, executive officer or designated DoD military or civilian official, at a level immediately above that of the certifying official and who is responsible for operations involving nuclear weapons, related nuclear weapon systems and/or components.
- 35. <u>Sensitive Position</u>. Any position so designated in the Department of the Navy, the occupant of which could bring about, by virtue of the type of the position, a materially adverse effect on the national security. All civilian positions are either "special-sensitive," "critical-sensitive," noncritical-sensitive," or "nonsensitive."
- 36. <u>Single-Scope Background Investigation (SSBI)</u>. A PSI consisting of both record reviews and interviews with sources of information. The period of investigation for a SSBI covers the most recent 10 years of an individual's life or since their 18th birthday.
- 37. <u>Suspension</u>. An action used to immediately remove a member from PRP duties without starting a decertification action.
- 38. <u>Technical Knowledge</u>. Knowledge that would allow an individual to perform an intentional act on a nuclear weapon, a

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critical nuclear weapon system component, or positive control material/NC2 material in a manner that could go undetected during normal monitoring or operations and could cause the unauthorized pre-arming, arming, launching, releasing, disablement, or detonation of a nuclear weapon or degradation of weapon performance.

- 39. Two Person Policy. A system designed to prohibit access by a lone individual to nuclear weapons and certain designated components by requiring the presence at all times of at least two authorized persons capable of detecting incorrect or unauthorized procedures with respect to the task to be performed. Also referred to as the two-person concept.
- 40. <u>U.S. Citizen</u>. A person born in one of the following locations is considered to be a U.S. citizen for PRP purposes; the 50 United States and the District of Columbia, Puerto Rico, Guam, American Samoa, Commonwealth of Northern Mariana Islands, U.S. Virgin Islands, the Federated States of Micronesia, and the Republic of the Marshall Islands. A naturalized U.S. citizen or a person born in a foreign country to parents who are U.S. citizens and for whom a certification of U.S. birth is issued, is a U.S. citizen.
- 41. <u>U.S. National</u>. A citizen of the United States or other person formally designated as a "U.S. national."

PRP POSITIONS

- 1. <u>General</u>. The reliability standards established in enclosure (3) will be used to determine an individual's eligibility for a PRP position. All PRP positions will be formally designated as either "critical" or "controlled" and will be restricted to the minimum number required to accomplish the mission. Only certified personnel will be assigned to designated PRP positions and when PRP positions become vacant, certified personnel will be assigned as rapidly as possible. Typical PRP positions are identified in appendix A to this enclosure.
- 2. Review of PRP Positions. Certifying officials will reevaluate designated PRP positions annually to determine the need for additional positions or the cancellation of unnecessary positions.
- 3. PRP Position Eligibility. Eligibility for assignment to PRP positions, subject to the reliability standards in enclosure (3) will be confirmed in writing by a certifying official. Before an individual is assigned to PRP duties, the certifying official will certify that the individual has the required PSI and clearance, will screen the individual in accordance with the reliability standards, will personally interview the individual, and will determine the individual is eligible and qualified for assignment to a PRP position.

This matrix is provided to assist in identifying PRP positions.

DUTY POSITION	DUTY POSITION EXAMPLE	PRP DESIGNATION
Commanders of delivery units	Navy submarine Air Force wing - group, and squadron; persons delegated to act for the above on nuclear weapon operations.	Critical
2. Pilots and crew delivery aircraft; missile crew	Pilots, navigators, and bombardiers; weapon system officers; electronic system officers; missile crew members.	Critical
3. Delivery Unit Personnel and supervisors		
a. With access and technical knowledge	Persons who by the nature of their assigned duties could cause the unauthorized launch, release or firing of a nuclear weapon	Critical
b. With access, no technical knowledge	Handling, transporting, and launch personnel.	Controlled
c. Without access	Support such as clerks, cooks.	None
4. Commanders, nuclear support units	Navy weapons division officer; Strategic Weapons Facilities; Air Force munitions or missile maintenance squadron	Critical

DUTY POSITION	DUTY POSITION EXAMPLE	PRP DESIGNATION
5. Nuclear support unit personnel and supervisors		
a. With access and technical knowledge	Persons who perform modifications, retrofits, limited life component changes, and similar tasks.	Critical
b. With access, no technical knowledge	Handling, transporting, and launch personnel.	Controlled
c. Without access	Support personnel such as clerks, cooks.	None
6. Personnel in command and control line	Persons who control or use authenticators and/or emergency action messages; permissive action link (PAL) teams and; other coded control device teams; staff officers, contractor and other personnel who control or use strategic or tactical NCCD.	Critical (May also be specially designated personnel- (see position example 16 below.))
7. Handling and transport personnel	Storage and supply personnel, supply clerks, vehicle operator, crane operators.	Controlled
Pilots and crew, transport aircraft		
a. With access	Self-explanatory.	Controlled
b. Without access	Self-explanatory.	None

DUTY POSITION	DUTY POSITION EXAMPLE	PRP DESIGNATION
Explosive ordnance disposal (EOD)		
a. With access and technical knowledge	EOD technicians.	Critical
b. Without access	EOD technicians not assigned to PRP billets; EOD support such as clerks and mechanics.	None
10. Command disablement management team		
a. With access and technical knowledge	Personnel tasked with coding and/or recoding and/or checking built-in Command Disablement System (CDS) and external controlled Command Disablement	Critical
b. With access, no technical knowledge	Command Disablement Team	Controlled
11. Security guards		
a. Internal and inside of perimeter	Persons who control entry into an exclusion area or a limited area; includes permanently assigned guards in any such area.	Controlled
b. Alarm monitors	Persons who control primary and redundant intrusion detection systems annunciation equipment.	Controlled

DUTY POSITION	DUTY POSITION EXAMPLE	PRP DESIGNATION
c. External to perimeter	Persons permanently assigned to nuclear weapon security duties who are armed and could inflict damage on a nuclear weapon or, when joined, to the delivery system.	Controlled
d. Escort	Persons controlling access to weapons during transport.	Controlled
e. NC2 Aircraft	Persons controlling access to occupied or locked NC2 aircraft with Positive Control Material (PCM) present.	Controlled (See Note 1)
f. Augmenters		
(1) Armed	Persons routinely assigned to duties directly for nuclear weapon security who are armed or could inflict damage on a nuclear weapon or, when joined, to the delivery system	Controlled
	Persons assigned to the augmentation response force.	None
(2) Not armed	Persons routinely assigned to nuclear weapon security duty who are not armed or could not inflict damage on a nuclear weapon or, when joined, its delivery system.	None

Note 1: Pending final disposition of the completed coordination of Nuclear Weapon System Safety Group, Operational Safety Review (OSR) of the Airborne Launch Control System (ALCS).

DUTY POSTION	DUTY POSITION EXAMPLE	PRP DESIGNATION
12. Delivery system maintenance personnel and supervisors	Persons who could cause the unauthorized launch, release, or firing of a nuclear weapon.	
With access and technical knowledge		Critical
b. With access, no technical knowledge		Controlled
c. Without access		None
13. Nuclear weapon inspectors	Position equal to that being inspected.	
a. With access		Critical or controlled
b. Without access		None
14. Custodial personnel		
a. Custodians		Critical
b. Custodial agents		Controlled
15. Communications security personnel	Persons who receive, and distribute sealed authenticators, PAL material, or related codes	Critical

DUTY POSITION	DUTY POSITION EXAMPLE	PRP DESIGNATION
16. Designated NC2 personnel	Personnel with access to NC2 coding and authentication processes and a communications medium necessary to transmit release, execution, or termination orders; personnel involved in the preparation and production of NC2 coding and authentication documents and equipment; personnel involved in preparation and production of nuclear weapons targeting tapes and materials; and other personnel who could have an adverse impact on system performance for nodes and equipment that represent near-single-point-failure elements for the NC2 system	Critical
17. Nuclear Certified Computer Data (NCCD) personnel	Personnel who certify nuclear computer data or personnel who have access to NCCD which could feasibly permit modification or substitution of certified materials without detection.	
a. With access and technical knowledge		Critical
b. With access no technical knowledge	Non-DCS NCCD couriers	Controlled

RELIABILITY STANDARDS AND APPLICATION

- 1. GENERAL. The certifying official will evaluate the reliability and suitability of an individual for PRP duties based on an assessment of the individual's personnel security eligibility, physical and mental competence, judgment, dependability and attitude. The certifying official will consider all available relevant facts of the individual's current and past duty performance, the results of the personnel security investigation (PSI) (as appropriate), medical evaluations, supervisor's comments, and will apply the PRP qualifying and disqualifying standards when making a determination regarding an individual's ability to reliably perform PRP duties.
- a. Qualifying. The following traits or conduct are expected of all PRP members:
- (1) Physical competence, mental alertness, and technical proficiency commensurate with duty requirements.
- (2) Evidence of dependability in accepting responsibilities and effectively performing in an approved manner; flexibility in adjusting to changes in working environment.
- (3) Evidence of good social adjustment and emotional stability and ability to exercise sound judgment in meeting adverse or emergency situations.
- (4) Positive attitude toward nuclear weapon duties, including the purpose of the PRP.
- b. <u>Disqualifying</u>. Any of the following traits or conduct is grounds for the removal or disqualification of individuals from the PRP:
 - (1) Alcohol abuse;
 - (2) Drug abuse;
 - (3) Negligence or delinquency in performance of duty;

- (4) Conviction of, or involvement in, a serious incident;
- (5) Mental, emotional or other significant medical condition;
 - (6) Poor attitude or lack of motivation;
 - (7) Suicide Attempt and/or Threats; and
 - (8) Loss of Confidence.
- 2. APPLICATION OF DISQUALIFYING CRITERIA. The threshold used when applying this disqualifying criteria is that the certifying and reviewing officials will have no reasonable doubt regarding the individual's reliability. Decisions will be made in the interest of national security. The following expanded guidelines are furnished to assist in making consistent reliability determinations:
- a. <u>Alcohol Abuse</u>. An isolated incident of alcohol abuse is not intended to be automatically disqualifying or to automatically require a decertification action. However, any alcohol abuse raises serious questions regarding an individual's acceptability for initial or continued assignment in a PRP position.
- (1) Alcohol Dependent. Individuals diagnosed as alcohol dependent will be disqualified or decertified from the PRP. The reviewing official will determine whether a permanent or temporary decertification is required after thoroughly evaluating the results of information provided by the certifying official, which should include a personnel and medical records screening, a medical diagnosis and subject interview. Enclosure (6) provides guidance on determining appropriate decertification action.
- (a) <u>Permanent Decertification</u>: Reinstatement to PRP duties of an individual decertified for alcohol abuse may be considered after the individual satisfactorily completes the 1-year formal aftercare program to include regular and frequent participation in meetings of Alcoholics Anonymous or a similar

organization, and total abstention from alcohol. A PRP qualification screening, to include a favorable prognosis by the competent medical authority and a psychological evaluation, will be completed before requesting PRP reinstatement. Requests for reinstatement will be forwarded to the CNO (NO9N2) or CMC (POS-30) via the chain of command.

- (b) <u>Temporary Decertification</u>: Removal of the temporary decertification may be considered after completion of 180 days aftercare provided the member's conduct, job performance, compliance with aftercare program, abstention from alcohol, and medical prognosis indicates favorable completion of the remaining aftercare program. Failure to satisfactorily complete the 1-year formal aftercare program or any additional alcohol-related incidents will result in permanent decertification.
- (2) **Alcohol Abuse**. Individuals diagnosed as alcohol abusers but who are not alcohol dependent will, at a minimum, be temporarily decertified.
- (a) After successful completion of the rehabilitation program, or treatment regimen prescribed by the CMA, removal of the temporary decertification may be considered provided the member has displayed positive changes in conduct, job performance, has fully complied with aftercare program requirements, and has a favorable prognosis by the CMA. Removal of temporary decertification will be accomplished by formal rescreening.
- (b) Failure to satisfactorily complete the aftercare program or any additional alcohol-related incidents will result in permanent decertification.
- (3) **Isolated Alcohol Incident**. Individuals whose abuse of alcohol is characterized by an isolated alcohol-related incident and/or for whom a formal counseling program or equivalent treatment for civilian personnel may be prescribed will, at a minimum, be suspended from PRP duties in order to allow for a full inquiry and medical evaluation. If a favorable rescreening cannot

be accomplished within 30 days, a temporary or permanent decertification action will be taken, as appropriate.

- (4) An individual prescribed alcohol awareness training may be returned to PRP duties (prior to completion of the training) when the certifying official determines the individual is reliable based on an evaluation of the circumstances of the abuse and the medical evaluation.
- (5) Experimental or infrequent underage alcohol use, preservice underage alcohol use or incidents, or alcohol-related incidents while not in the PRP do not necessarily render an individual ineligible for consideration for, or retention in, a PRP position. It is the responsibility of the certifying official to determine the degree to which the pre-service/pre-PRP incident(s) impacts the reliability of the individual being considered. While it is not the intent of this instruction to render these individuals ineligible for the PRP, acts of preservice underage drinking that lead to identification of additional alcohol-related problems or other violations of the law will require CMA consultation.
- b. <u>Drug Abuse</u>. Drug abuse is a violation of the law. It demonstrates a behavior pattern or action which is reasonably indicative of a contemptuous attitude toward the law or other duly-constituted authority. Any conduct which falls within the definition of drug abuse may be grounds for disqualification or decertification.
- (1) Any personnel determined to have pre-service or inservice abuse of any drug will be disqualified prior to initial assignment to a PRP billet or, if currently assigned, will be permanently decertified except:
- (a) pre-service or in-service cannabis use which was acceptably screened under previous PRP guidance will not be the sole basis for disqualification or decertification, and
- (b) pre-service experimental (infrequent) use of cannabis derivatives will not necessarily be the basis for

disqualification or decertification, provided there is <u>no</u> additional information that causes the certifying official to doubt the individual's reliability.

- (2) Any personnel who abuse drugs while in the PRP will be immediately and permanently decertified.
- (3) Any personnel determined to have used hallucinogens (i.e. LSD, Mescaline, peyote, etc.) at any time will be disqualified or permanently decertified.
- (4) Any personnel in the PRP who use a Food and Drug Administration (FDA) Schedule II, III, or IV anabolic steroid NOT prescribed by a physician will be permanently decertified.
- (5) Any personnel in the PRP who inappropriately use non drug substances to alter perceptions or mental faculties, such as glue or aerosol fumes, will be permanently decertified.
- (6) Any personnel who have ever been involved in trafficking, cultivating, processing, manufacturing, or selling of any illegal drug will be disqualified or permanently decertified.
- (7) If the certifying official has any reason to doubt or suspect an individual's reliability for PRP duties, re-evaluation is required in accordance with enclosure (4) paragraph 6b.
- (8) It is not the intent of this instruction to automatically disqualify or decertify any personnel from the PRP who, in an effort to self medicate, inadvertently or deliberately exceed the recommended safe dosage on the medication's packaging of over the counter substances or who improperly use a prescription medication. If the certifying official suspects or the individual admits to such improper usage, the individual must be suspended from PRP duties or the qualification screening discontinued and CMA consulted. If after CMA evaluation and consultation, the certifying official concludes drug abuse has occurred, the certifying official must decertify or disqualify the individual.

- c. Negligence or delinquency in performance of duty. Because a good indication of reliability is past performance, the certifying official will review the individuals work history for evidence of desirable traits, such as dependability, flexibility and good attitude and judgment. Undesirable traits will be apparent by demonstrated poor performance on the job or unreliability evidenced by an unauthorized absence or desertion. In determining negligence, the certifying official must evaluate all aspects of the individuals actions, keeping in mind that instances of past youthful indiscretions are not necessarily proof of current unreliability or negligence.
- d. Conviction of an offense, or involvement in a serious incident. Conviction by a military or civil court of a serious offense including both felonies and misdemeanors, or involvement in a serious incident, act, or series of actions which are indicative of a contemptuous attitude toward the law or other duly constituted authority must be considered.
- (1) Serious incidents include, but are not limited to, any criminal or petty offense, assault, sexual misconduct, financial irresponsibility, inordinate number of traffic offenses, and/or child or spouse abuse.
- (2) Although the term "serious incident" is a relative term, any incident that causes concern on the part of the certifying official regarding the individual's trustworthiness, reliability, or judgment will be considered as "serious" for the purpose of this instruction. Any situation in which the individual knows the law, regulations or requirements and simply does not choose to comply, can demonstrate a contemptuous attitude and could be disqualifying.
- e. <u>Medical Condition</u>. Upon consultation with a CMA, any significant physical, mental, or emotional condition, or aberrant behavior, considered by the certifying official as prejudicial to reliable performance, must be considered for disqualification, decertification or suspension.

- (1) **Short Term**. Any condition which could reasonably be expected to be resolved within 6 months (e.g. broken hand, certain stress situations, medication which impedes performance, etc.) may be the basis for a temporary decertification or suspension.
- (2) Long Term. Commanding officers or activity heads will be notified immediately of any individual being considered for or currently performing in a PRP position who has been diagnosed with a serious progressive illness. The primary consideration in all determinations must be the national security. (For example, this includes individuals with amyotrophic lateral sclerosis (ALS), multiple sclerosis (MS), active acquired immunodeficiency syndrome (AIDS) or those who test positive for the Human Immunodeficiency Virus (HIV). Individuals with AIDS or who are HIV positive will not be treated differently than other individuals with a serious progressive illness solely on the basis of being diagnosed with AIDS or testing HIV positive.) The certifying official will take the necessary actions to ensure that the individual is properly screened both medically and psychologically. As with any potentially disqualifying medical condition, the certifying official must decide each case on specific medical and other pertinent evaluations of the individual involved.
- (3) Aberrant Behavior. Aberrant behavior can be interpreted to include a variety of behaviors or conduct. Individuals who hold PRP positions are expected to conduct themselves in accordance with a strict code of behavior which requires the use of exceptional judgment and reliability. In accordance with the reliability standards, "aberrant" suggests a deviation from what is expected normal behavior for holding a PRP position. Aberrant behavior can be of long or short duration. Any bizarre or strange behavior, perverse activity, or aberrant mood (depressed or agitated), unsound behavior (impulsiveness, destructiveness) can be considered aberrant. In most cases a medical evaluation is appropriate to evaluate the mental or emotional state of the individual whose behavior is considered aberrant.
- f. Poor attitude or lack of motivation. Display of poor attitude or lack of motivation can be evidenced by a negative or

pessimistic attitude (arrogance, inflexibility, or suspiciousness), which is detrimental to sound reliable performance. Included in this are individuals who display indications of excessive worry, anxiety, or apprehension concerning the duties of the PRP position. Also, individuals who express an unwillingness to be assigned to nuclear weapons duties, profess to be conscientious objectors, or who give evidence of objecting to the bearing and use of arms when necessary will not be assigned to nuclear weapons duties. It is intended that individuals who do not display a positive attitude towards PRP duties be considered for decertification or disqualification.

- g. Suicide Attempt and/or Threats. Any suicide attempt and/or threat may be grounds for disqualification or decertification. In determining reliability, the certifying official must evaluate all aspects of the individual's action. Any suspected attempt and/or threat of suicide requires, at a minimum, suspension from PRP duties. The certifying official will request a mental health assessment/evaluation which will be used in consultation with the CMA to determine whether a decertification action is warranted.
- h. <u>Loss of Confidence</u>. If for any reason the certifying official loses trust or confidence in a member's ability to perform PRP duties, the certifying official may decertify the individual.

SCREENING AND CERTIFICATION PROCEDURES

The determination of acceptability for assignment to the PRP will be made by the certifying official based on appropriate security investigation, available personnel records review, medical evaluation, personal interview and proficiency determination. The PRP screening is used to proactively monitor and document the evaluation of individuals who are in, or who will be in, PRP billets. The screening and evaluation requirements will vary depending on the individual's PRP status (e.g. in training for a PRP billet, previously screened for a PRP billet, currently occupying a PRP billet).

- 1. <u>INITIAL SCREENING</u>. Certifying officials will use the OPNAV 5510/414 to document the formal screening and certification of acceptability of individuals assuming PRP duties. If an individual who was previously in the PRP has been out of the program for more than 5 years, certification procedures for initial screening apply. When an individual has had a break in active-duty service for more than 24 months, initial screening procedures apply. The following screening procedures apply for all individuals who are initially screened for PRP:
- a. <u>Investigation</u>. The investigation upon which certification is based must have been completed within 5 years prior to the date of initial assignment to a PRP position, with no break in active service (see definition in enclosure (1)) longer than 24 months between completion of the investigation and initial assignment and will be submitted in accordance with reference (f).
- (1) In cases where the investigation was completed more than 5 years before initial assignment or where a break in active service exceeds 24 months after completion of the investigation, a new investigation is required.
- (2) Reinvestigations are required every 5 years for all PRP position assignments and will be submitted in accordance with reference (f).

- (3) The word "NAC", when used in this instruction includes the Entrance National Agency Check (ENTNAC), the NAC, and the National Agency Check plus Written Inquiries (NACI) conducted for civilian employment.
- (4) **Critical PRP position**. The investigative requirement for initial assignment to a critical PRP position is a favorably adjudicated Single Scope Background Investigation (SSBI) completed within the past 5 years. This requirement may also be satisfied by a favorable SSBI Periodic Reinvestigation (SSBI-PR). If there is no investigation to satisfy the requirements for initial assignment, the command must request an SSBI or SSBI-PR as appropriate.
- (a) NC2 Positions. In addition to investigative requirements, personnel selected for designated NC2 positions, in accordance with reference (e), will be subject to a periodic Counterintelligence-Scope Polygraph (CSP) examination. Additionally, a urinalysis drug test will be administered specifically for the purpose of NC2 certification prior to placement into the PRP and randomly thereafter.
- (b) <u>Initial Investigative Review</u>. When initially screening an individual for a critical PRP position, the certifying official must review the results of the security investigation. However the review of the investigation need not be accomplished before final certification into the PRP. If it is determined that the investigation meets PRP standards, the results of the review will be documented on OPNAV 5510/414. Once the investigation has been reviewed and documented on OPNAV 5510/414 for initial screening purposes, there is no requirement to repeat a review of that investigation, regardless of changes in duty station. There is also no requirement to review investigations for individuals initially screened under previous instructions.
- $\underline{1}$. When requesting a new investigation the request must be properly annotated to reflect the PRP assignment. DON CAF will adjudicate the clearance and automatically forward

the completed investigation so the command can accomplish the required initial review.

- $\underline{2}$. When a PRP member or incumbent already has an investigation which supports final PRP certification, commands will request a review copy of the investigation from the Naval Criminal Investigative Service Headquarters, Records Management Division, Code 27DL, 716 Sicard Street SE, Suite 2000, Washington Navy Yard, Washington, D.C. 20388-5380. Requests will be in letter or message format stating the PRP requirement, and will identify the subject, including full name, Social Security Number (SSN) and date of birth (DOB).
- $\underline{3}$. Although it may not be possible to retrieve and evaluate the results of the security investigation before final certification, the certifying official shall make every effort to obtain, evaluate and document his review on the screening form as soon as possible.
- (c) <u>Interim Certification</u>. If it becomes necessary to consider an individual for a critical PRP position and the required investigation has not been completed, interim certification is permitted under carefully controlled conditions, as set forth below:
- <u>1</u>. The individual has been the subject of a favorably adjudicated ANACI, NACLC or SPR completed within 5 years; or has been the subject of a favorably adjudicated ENTNAC, NAC, or NACI completed prior to the implementation date of this instruction, but no older than 5 years; or has been the subject of a favorably adjudicated Background Investigation (BI), Background Investigation-Periodic Reinvestigation (BI-PR), Special Background Investigation (SBI), or Special Background Investigation-Periodic Reinvestigation (SBI-PR) completed more than 5 years ago provided the investigation has not been invalidated by a break in active service exceeding 24 months (see enclosure (1) definition).

- $\underline{2}$. The required current SSBI request must have been requested prior to interim certification; and all other requirements of the PRP screening process have been fulfilled.
- $\underline{3}$. Individuals who are interim certified must be identified to supervisory personnel, entry controllers directly controlling access to exclusion areas, and others as necessary, and may not be paired with another individual who is interim certified, when the two-person concept is applicable.
- $\underline{4}$. Justification of the need for interim certification must be documented by the certifying official.
- $\underline{5}$. Should the investigation not be completed within 180 days of the date of the request, the certifying official will notify the command security manager who is responsible for obtaining the status of the investigation from the DON CAF for DON military and civilian personnel and the Defense Industrial Security Clearance Office (DISCO) for contractors. The certifying official will decide whether to continue or withdraw the interim certification based upon the determined status (i.e. if it appears that developed derogatory information is causing a lengthy investigative process).
- (5) **Controlled Position**. The investigative requirement for initial assignment to a controlled PRP position is a favorably adjudicated NACLC, ANACI or SPR completed within the past 5 years. The requirement may also be satisfied by a favorably adjudicated ENTNAC, NAC, or NACI, completed prior to the implementation date of this instruction, but no older than 5 years. An existing favorably adjudicated SSBI or SSBI-PR completed within the past five years will also suffice.
- (a) When there is no investigation to satisfy the requirements for initial assignment, the command must request a NACLC or ANACI as appropriate. When requesting a new investigation the request must be properly annotated to reflect PRP assignment.

- (b) <u>Initial Investigative Review</u>. When initially screening an individual for a controlled PRP position, the certifying official must review the results of the security investigation, however the review of the investigation need not be accomplished before final certification into the PRP. (Note: No file is created for favorable ENTNACS or NACS; therefore no such review is possible for these two types of investigation.) If it is determined that the investigation meets PRP standards, the results of the review will be documented on OPNAV 5510/414. Once the investigation has been reviewed and documented on OPNAV 5510/414 for initial screening purposes, there is no requirement to repeat a review of that investigation, regardless of changes in duty station. There is also no requirement to review investigations for individuals initially screened under previous instructions.
- $\underline{1}$. When requesting a new investigation the request must be properly annotated to reflect the PRP assignment. DON CAF will adjudicate the clearance and automatically forward the completed investigation so the command can accomplish the required initial review.
- <u>2</u>. When a PRP member or incumbent already has an investigation which supports final PRP assignment, commands will request a review copy of the investigation from the Naval Criminal Investigative Service Headquarters, Records Management Division, Code 27DL, 716 Sicard Street SE, Suite 2000, Washington Navy Yard, Washington, D.C. 20388-5380. Requests will be in letter or message format stating the PRP requirement, and will identify the subject, including full name, Social Security Number (SSN) and date of birth (DOB).
- $\underline{3}$. Although it may not be possible to retrieve and evaluate the results of the security investigation before final certification, the certifying official shall make every effort to obtain, evaluate and document his review on the screening form as soon as possible.

- (c) <u>Interim Certification</u>. Interim certification may be authorized when an individual does not meet the investigative requirements subject to the following conditions:
- $\underline{1}$. The individual has been the subject of a favorably adjudicated PSI completed within the last 5 years or has been the subject of a favorably adjudicated SPR, BI, SBI, SSBI, SSBI-PR completed more than 5 years ago provided the investigation has not been invalidated by a break in active service exceeding 24 months.
- $\underline{2}$. The required ANACI or NACLC has been requested and all other requirements of the PRP screening process have been fulfilled.
- $\underline{3}$. Should the NACLC/ANACI not be completed within 90 days of the date requested, the certifying official will notify the security manager who is responsible for obtaining the status of the investigation from the DON CAF or DISCO. The certifying official will decide if it is in the best interest of the command to continue the interim certification.
- $\underline{4}$. Individuals who are interim certified must be identified to supervisory personnel, entry controllers directly controlling entry to exclusion areas, or other areas requiring a two-person team.
- $\underline{5}$. An individual will not be paired in the two-person team with another individual also having an interim PRP certification.
- $\underline{6}$. The justification for interim certification must be documented by the certifying official.
- b. <u>Clearance</u>. Individuals functioning in PRP billets must have been granted a security clearance commensurate with the level of access to classified information required. The command security manager is responsible for providing clearance information to the PRP certifying official.

- Personnel Records. A detailed review by the certifying official will be made of the individual's personnel record and other official records and information locally available concerning behavior or conduct which could be relevant to PRP The review will look for evidence of the individual's acceptance of responsibility, exercise of sound judgment, effective performance, and ability to adjust to changes in the working environment. Because the personnel records review is normally completed early in the screening process, if it becomes apparent that an individual will not meet the PRP standards, appropriate documentation will be made to preclude further processing. For enlisted personnel, document page 13 (page 11 for Marines) indicating the member is unsuitable for PRP. officers, prepare a letter of record and indicate the officer is unsuitable for PRP assignment and forward the letter to Commander, Naval Personnel Command (PERS 831) for inclusion in the master service record. For civilian employees, a memorandum for record and/or an OPNAV 5510/414 record of unsuitability will be filed in the official personnel folder (OPF). If the individual was previously formally certified, a formal decertification will be accomplished in accordance with enclosure (6).
- Medical Evaluation. As part of the required screening process, medical histories and records, if sufficiently comprehensive and current for the purpose, will be reviewed to determine the candidate's physical and mental condition and qualifications under the standards of the PRP (see reference (f) for civilians). Screening of medical records will be performed by the competent medical authority (see enclosure (1), definition 10) or other personnel specifically trained and designated in writing to perform that function. When the records review is conducted by other than the competent medical authority, and reveals potentially disqualifying information or raises a question concerning an individual's physical or mental suitability for assignment to a PRP position, the case will be referred to the competent medical authority for evaluation and/or additional medical examination (to be conducted as soon as possible). results of the medical review revealing PDI will be documented by an SF 600 entry and provided to the certifying official who will

make the determination on the individual's eligibility to perform PRP duties.

- (1) Physician's Assistants (PA) and Hospital Corpsmen (HM) eligible to be assigned to independent duty under Article 9-15, U.S. Navy Manual of the Medical Department, are considered as specifically trained to screen the medical records. If they are actually serving on independent duty in nuclear capable commands as medical department representatives, they will be considered as both trained and as having been officially designated to perform this function. HM (who are eligible but who are not actually serving on independent duty), PA, Medical Service Corps officers and nurses (Navy nurse corps and civilian nurses) may perform the function (screening of medical records) when specifically trained to do so and designated in writing by the senior medical officer, commanding officer or activity head under whom they serve. However, when the medical records review discloses potentially disqualifying information the record must be referred to competent medical authority for evaluation.
- (2) If available medical records are not sufficiently comprehensive or current, competent medical authority will conduct a medical examination (including psychiatric consultation when appropriate) as soon as possible to determine medical qualification under PRP standards. The certifying official will be notified immediately by the competent medical authority when the individual's physical or mental state raises questions regarding the individual's ability to perform reliably in the PRP or if the evaluation indicates any past mental or emotional instability, drug or alcohol abuse, or the present need for medical treatment with medications affecting the individual's ability to perform PRP functions.
- (3) Commanding officers, activity heads, reviewing officials, certifying officials and inspectors are authorized to review medical records of candidates and members of the PRP to make PRP eligibility determinations. When appropriate, review will be accomplished with the assistance of a competent medical authority who can advise on medical record data which might

require clarification. Release or discussion of the content of medical records is prohibited except as necessary for PRP certification actions.

- (4) When non-U.S. or non-DoD physicians or facilities provide the government medical support, responsible U.S. medical personnel will ensure that medical information relating to personnel in the PRP is properly reported to the certifying official. Individuals in the PRP who seek non-government medical treatment by non-U.S. or non-DoD physicians or facilities will be instructed to notify the certifying official of the treatment.
- (5) Hypnosis will not be administered to individuals certified under the PRP without the knowledge and consent of the individual's certifying official. When screening an individual for PRP duties who has undergone hypnosis, the certifying official should determine, in coordination with the competent medical authority, if any potential for degraded job performance or diminished reliability exists.
- e. <u>Personal Interview</u>. The personal interview can be highly effective in educating an individual regarding PRP requirements and in eliciting valuable information to assist the PRP certifying official in appraising an individual's suitability for PRP assignment. The personal interview will be conducted by the certifying official (separate from the routine orientation briefing for new personnel). The interview will emphasize the importance of the assignment, the need to demonstrate reliability, the penalties for disqualifying conduct, and the program requirements. Certifying officials will also ask questions of the individual to determine the individual's attitude towards the PRP and towards performing nuclear weapon duties. Certifying officials need only interview the individual whose personnel and medical records are favorably screened. The certifying official's personal interview should cover the following points:
- (1) Spirit and Intent of the PRP. The intent of this program is to prevent the possibility of an action that could lead to nuclear weapon damage or cause the unauthorized launch of a

nuclear missile or the unauthorized detonation of a nuclear weapon.

- (2) Qualifications of Personnel Selected to Perform PRP Duties. Individuals must be stable and free from emotional disturbances, impulsive traits, or serious personal problems. The high standards required for individuals working in these selected duties cannot be overemphasized. The enclosure (3), paragraph 1, qualifying and disqualifying traits and conduct will be discussed.
- (3) Individual's Responsibilities Under the PRP. The individual's responsibilities include monitoring his or her own reliability, as well as the reliability of co-workers. It is important that the certifying official solicits information about unusual or undesirable activities or conduct and discusses the qualifying and disqualifying standards. He or she should stress the importance of reporting unusual or undesirable conduct and the consequences/potential dangers should that information not be reported.
- (4) Decertification from the PRP. The certifying official should explain suspension, temporary and permanent decertification, and the possible impact of these actions on the individual (e.g., rating change, transfer to other command, etc.). Personnel must be informed that they cannot allow unreliable conduct such as alcohol abuse to conflict with reliability and safety standards, and that an individual who has displayed irresponsibility or instability cannot be permitted to perform nuclear weapons duties. The certifying official must stress the need for an alcohol and drug free nuclear weapons environment. If individuals suspect they have a problem that could be disqualifying they are required to report it, and they are considered to be acting responsibly if they do and are more likely to be retained in the PRP while resolving the problem.
- f. <u>Proficiency Qualification</u>. To be certified proficient the individual must be qualified by a formal course of instruction <u>and</u> experience, or other supervised on-the-job (OJT) training <u>and</u> experience (as required by the critical or controlled position),

and the individual must be determined proficient in the duties to be performed. (Personnel who perform modification, retrofits, Limited Life Component (LLC) changes, etc., and EOD personnel and their supervisors must be qualified by a formal course of instruction and experience for the duties they are to perform).

- (1) The experience factor may be achieved by OJT during the required instructional phase, or demonstrated by previous work experience. The nature of the position will determine the necessity for further experience or OJT observation following formal training.
- (2) The individual who certifies proficiency, if other than the commanding officer, activity head, executive officer, or the certifying official, must be designated in writing.
- (3) A certification of proficiency for PRP is independent of rating qualifications or certifications.
- g. Certification of Acceptability. The determination of acceptability for PRP assignment is based upon consideration and evaluation of all the information obtained during the screening process. The certifying official will ensure that OPNAV 5510/414 is completed and permanently maintained. Upon completion of military obligation, the OPNAV 5510/414 will be made a permanent part of the military member's service record. For civilian personnel, the OPNAV 5510/414 will be filed in the OPF. Appendix A to this enclosure contains detailed instructions for completing the form and a sample OPNAV 5510/414.
- (1) For officers the OPNAV Form 5510/414 will be filed in the Security Clearance/Access Information (Case) File (SSIC 5521) folder which will be provided to the member upon transfer for handcarry to his next command. Upon separation from the military or permanent decertification the OPNAV 5510/414 will be forwarded to PERS 831 for permanent retention in the master service record.
- (2) For enlisted personnel the OPNAV 5510/414 will be filed permanently in the enlisted service record.

- (3) For civilians the OPNAV 5510/414 will be permanently filed in the official personnel folder.
- (4) If an individual previously certified into a PRP position has been out of the program for more than 5 years, certification procedures for initial screening apply.
- (5) OPNAV 5510/415 (3-94), or NAVPERS 5510/1 (3-71) "Record Identifier for Personnel Reliability Program" will be maintained in the individual's service record, medical, and dental records, security folder or official personnel folder, as appropriate as a PRP identifier until the individual is permanently decertified or otherwise removed from PRP duties.
- 2. SCREENING INCIDENT TO TRANSFER FOR TRAINING. Commands responsible for transferring personnel to courses of instruction/training which will lead to a PRP assignment are required to do a preliminary screening before the transfer to preclude the expense of transferring obviously unsuitable candidates. A preliminary screening consists of a personnel and medical records review (ensuring the required PSI has been requested or is sufficient for PRP assignment) and a personal interview. Preliminary screenings for Navy military members are documented on page 13 of the service record and for Marine Corps military members on page 11. Reference (h) identifies courses of instruction or training which require PRP preliminary screening prior to transfer.
- 3. <u>SCREENING AT TRAINING COMMANDS</u>. Individuals who engage in training leading to a PRP assignment must undergo a formal PRP screening at the training command. Certifying officials at training commands must be familiar with the PRP and designated in writing to perform the screening but are not required to be in the PRP themselves.
- a. The training command will first review the individual's personnel records to determine if a preliminary screening was accomplished and to ensure the absence of obviously disqualifying information. If the individual does not qualify based upon this

records review alone, the training command will document in the military service record (page 13 for Navy military members and page 11 for Marine Corps military members) that the member was found unsuitable for PRP training and assignment. The entry will identify the command, the certifying official and the date the determination was made. The training command will then arrange for disenrollment, rating conversion and/or other appropriate administrative actions. There is no requirement to forward a formal report of disqualification to CNO (NO9N2).

- b. If the records review is satisfactory, the screening process will continue, using the OPNAV 5510/414. Any investigation required to support the individual's ultimate assignment will be initiated. Investigations that are completed and forwarded to the training command for review will be evaluated and the review will be appropriately documented on the screening form.
- c. The training command will complete as much of the screening and evaluation as possible, to include medical records review, personal interview and, whenever possible, proficiency. Initial the blocks for items evaluated and make a slash mark through blocks for the items that the training command could not evaluate. The certifying official will sign and date the certification section at the bottom of the form.
- d. Marines attending the Basic Security Guard Course who will be eligible for PRP assignment will be screened for PRP eligibility prior to receiving orders to a PRP billet. Screening procedures found in paragraph 5c of this enclosure apply.
- e. Once certified at the training command, if it is determined that the individual no longer meets the standards, a formal decertification is required.
- f. The "Record Identifier for Personnel Reliability Program", OPNAV 5510/415 will be conspicuously attached to the permanent side of the appropriate personnel, security and health records when accomplishing this first formal screening.

- 4. <u>COMMANDING OFFICER SCREENING</u>. Commanding officers have been formally screened for command by a command selection board, therefore screening for the PRP will be minimal. An appropriate senior in the chain of command (either operational or administrative) will certify the incoming commanding officer. Continuous evaluation of commanding officers is provided by their operational or administrative commanders through the normal fitness report process.
- 5. <u>ELIGIBILITY SCREENING</u>. There are situations when commands will be required to screen members for PRP eligibility when the member is NOT CURRENTLY IN A PRP BILLET at that command. For these situations the PRP standards will be applied to the extent possible without applying the administrative procedures.
- a. The need for continuous PRP eligibility applies to individuals in ratings designated in reference (i) as requiring PRP eligibility and to personnel assigned as PRP incumbents (i.e. fleet regenerable assets).
- b. Maintenance of PRP eligibility for individuals at commands where they are not in a PRP billet does not carry with it the requirement to reinvestigate or formally decertify. Commands should document any information that is potentially disqualifying for PRP and apprise COMNAVPERSCOM if a situation arises that may affect member's assignability or suitability. Commands also have an obligation to report unfavorable security information to DON CAF for clearance adjudication purposes.
- c. In the event the individual receives orders to a PRP billet, the transferring command must screen the individual for transfer to the PRP billet ensuring the individual has the appropriate investigation, to preclude the expense of transferring an obviously unsuitable or unscreenable member. All information that is potentially disqualifying for PRP will be formally evaluated at this time as part of the screening for transfer. If the individual is ineligible for PRP, the individual will either be disqualified by annotating the appropriate page of the service

record and notifying the originator of the transfer orders, or if the individual was previously formally certified for a PRP billet, a formal decertification will be accomplished in accordance with enclosure (6) to document the individual's change in status.

- 6. <u>RESCREENING</u>. Rescreenings are required when an individual transfers to a new PRP command, when an issue develops that requires resolution through formal screening, and when an individual progresses from a controlled to a critical PRP position. The rescreening serves to document that the certifying official has evaluated the record, is aware of all potentially disqualifying information and has made a determination regarding the individual's continued PRP eligibility.
- a. When gaining a PRP member. When an individual is transferred from one PRP assignment to another, the certifying official at the new command must conduct a full screening of the individual following enclosure (4), paragraph 1 procedures. However the transfer itself will not affect the individual's certification for PRP duties unless the rescreen develops potentially disqualifying information that has not been resolved.
- (1) Individuals currently in the PRP with a PSI over 5 years old are not required to be removed from the PRP, or placed into an interim PRP certification due to the age of their investigation, provided the PR has been submitted. Interim certifications are only necessary if the required investigative basis for initial PRP assignment has not been completed. However, commands must make every effort to identify and request investigations and reinvestigations as required.
- (2) The certified record of prior investigation and clearance recorded on Part I of OPNAV 5510/414 is to be accepted in rescreening at the new assignment. There is no requirement to review previously evaluated personnel security investigative data.
- (3) If no previous screening record is available to document a previous PRP certification, the current PRP certifying official may conclude that the individual was previously screened

as suitable when the service member so states and his/her statement is substantiated by health or dental record entries corroborating the individual's statement. Personnel records may also indicate previous PRP screening when one considers the individual's enlisted rating, NEC, or officer designator combined with indications that the individual has previous duty stations associated with nuclear weapons or the nature of previous duty or schools attended indicate PRP requirements. A full rescreening must be conducted to include review of the investigation.

- b. To document evaluation of developed information. A rescreening is required when an issue develops that requires resolution through formal screening. The rescreening documentation indicates that the certifying official has evaluated the record, is aware of all potentially disqualifying information and has made a determination regarding the individual's continued PRP eligibility.
- c. <u>Changing PRP positions</u>. When an individual progresses from a controlled to a critical PRP position, a rescreening will be conducted as appropriate.
- d. When the certifying official changes. The new certifying official should be briefed by the previous certifying official concerning the command's PRP program and will be fully apprised of all PRP issues. A formal rescreening of each member is not necessary.
- e. When a member returns from extended temporary duty. When an individual returns to the parent command following Temporary Additional Duty (TAD) or Temporary Duty (TDY) (30 days or more), or when an individual's medical or service record is removed from the parent command in conjunction with the TAD/TDY (regardless of duration), a records review should be accomplished to determine whether a rescreening is appropriate.

PERSONNEL RELIABILITY PROGRAM (PRP) SCREENING AND EVALUATION RECORD						
NAME (LAST, FIRST, MI) SSN	;	···				
PART I - PERSONNEL RECORDS SCREENING						
a. Investigations: b. Command review of Investiga	-tion co	malated	for init	iel njed	ament	
Date Date CRITICAL BBB monition		-		•		
Type Initiated Completed CHITICAL PRP position			—·	(Date i	† † †/IVI	MIUU
INITIAL	REENENG	RESCREI (initi	ENING (
		•	4	5		,
c. Individual has the appropriate security clearance.	2	3	4	5	T 6	7
d. Type PRP position: CRITICAL	1	+	1			
CONTROLLED						
e. Personnel and other official records and information locally available have been reviewed for issues relevant to PRP standards. (Initial column when results are considered favorable).						
PART II - MEDICAL EVALUATION	+-		-	 	 	
a. A favorable review of medical records (including a favorable medical examination/evaluation as necessary) was						
conducted by a competent medical authority. Appropriate entries have been made on the SF 600.				-	-	
b. A favorable review of medical records and history has been conducted by other medical personnel specifically trained and designated in writing (e.g. HM's, Medical Service Corps officers and nurses). No potentially disqualifying information was present. Appropriate entries have been made on the SF 600.						
c. Potentially disqualifying information was evaluated by a competent medical authority. Appropriate records/						
medical evaluations or psychiatric evaluation accomplished. Appropriate entries have been made on the SF 600. PART III - PERSONAL INTERVIEW AND BRIEFING	+	-	-	+	\vdash	
Individual has been personally interviewed and informed of the significance of the PRP assignment, of reliability standards, and of the need for reliable performance. Individual demonstrates a positive attitude toward the PRP and its objective (Initial in column when the results are considered favorable).						
b. I have been interviewed and briefed as indicated. I understand the spirit and intent of the PRP.						
Signature of Individual Date (YYYY/MM/DD)				<u> </u>		
PART IV - PROFICIENCY				T		
a. Individual is currently undergoing supervised on-the-job training leading to proficiency certifications.					<u> </u>]
b. Individual is certified as proficient in the duties to be performed and has been qualified by:				7	Ţ	
☐ Supervised training and experience.			 	+	\vdash	
PART V - CERTIFICATE OF ACCEPTABILITY	+	+	1	<u> </u>	 	
Individual has been evaluated using PRP standards. All information (including any potentially disqualifying information present), has been thoroughly evaluated. I find the individual's acceptability for PRP assignment to be:						
Unsuitable	+	+-	1	†	1	
☐ Temporarily Unsuitable	工					
(Type/Print) Name, Grade, Position & Organization of PRP Certifying Official Signature & Date				T		
1						
2						
3						
4		<u>,</u>				
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OPNAV 5510/414 (MAR 1994)

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PERSONNEL RELIABILITY PROGRAM (PRP) SCREENING AND EVALUATION RECORD OPNAV Form 5510/414 (3-94) INSTRUCTIONS

General Instructions

- a. File and maintain the completed OPNAV 5510/414 on the permanent side of the service record or for civilians, in the official personnel folder (OPF). File OPNAV 5510/415 (3-94) (Record Identifier for Personnel Reliability Program) in service record (left hand side) and in the member's health records (right hand side) to reflect assignment of the individual to or in training for a position requiring extraordinary reliability. Identify the individual to supervisors, managers and other line and staff officers for purposes of assuring continuing evaluation.
- b. Pen changes to the OPNAV 5510/414 are acceptable provided they are legible and are initialed by the Certifying Official. Changes will be made by lining through the incorrect information, inserting the correct information in the same space, and initialing by the Certifying official.
- c. The Certifying Official will initial OPNAV 5510/414 blocks to demonstrate review. Slash marks will be used for corresponding items that were not reviewed or pertinent.
- d. All dates will be entered so that year, month and day are easily discernible. (yr/mo/day, 19890101).
- e. The screening of personnel records, the medical evaluation and the initiation of investigation may be conducted in any order the Certifying Official deems appropriate.
- f. When an individual's OPNAV 5510/414 is completely filled in, or becomes unreadable, a new OPNAV 5510/414 will be prepared. Attach the old form to the new form and file in the personnel record. The words "Continuation Sheet" shall be printed at the top and bottom of the new form.
- g. Personnel in training for, or performing in, an assignment or position designated as PRP Critical or Controlled are required to be screened initially and continuously evaluated thereafter to ensure they meet the program standards. This form provides a format for documenting the required screening and continuous evaluation process. Complete applicable parts of the form and initial appropriate blocks.

1. Part I - Personnel Records Screening

- a. In section a., record the type of investigation (ENTNAC, NAC, SSBI, SPR, PR, etc.). You may use the type investigation identified on the Department of the Navy Central Adjudication Facility (DON CAF) message. Additionally, record the date the investigation was initiated or requested and/or the date the investigation was completed.
- b. Section b. is for initial assignment to a CRITICAL PRP position. The certifying official is required to review the investigation that supports the initial assignment. The certifying official will fill in the date the investigation was reviewed. Once the initial review is accomplished, there is no need to repeat the review for subsequent screening or at subsequent commands.
- c. Initial in section c, when the individual has a security clearance commensurate with the security classification of the information required.
- d. In section d. identify the type of PRP position assignment.
- e. Review the individual's service record, official personnel folder or other records relevant to PRP standards. In section e., initial the appropriate column when review is favorable.
- 2. Part II Medical Evaluation. A medical evaluation is required to determine the individual's physical and mental fitness for PRP duties. The PRP medical evaluation may be based solely on medical history records if they are sufficiently comprehensive and current. Where potentially disqualifying information exists or an otherwise disqualifying condition is present, current medical examination by competent medical authority is required. Psychiatric consultation may be warranted if there are indications of mental or emotional disorders. An SF 600 entry in the medical record regarding the individual's acceptability for PRP duties is necessary.
- a. Initial in section a, when the medical record review or medical examination/evaluation was conducted by a competent medical authority. Document determination on the SF 600.
- b. Initial in section b. when the medical records review was accomplished by other medical personnel specifically trained and designated in writing (e.g. HM's, Physician Assistants, Medical Service Corps officers and nurses) and revealed no potentially disqualifying information. Document determination on the SF 600.
- c. Initial in section c. when potentially disqualifying information is present, the information is evaluated by a competent medical authority, and the individual is determined suitable for PRP assignment. Document determination on the SF 600 commenting on all potentially disqualifying information.

NOTE: In all cases, medical certification entries will be documented on the SF-600, and the information provided to the certifying official for final PRP suitability determination.

3. Part III - Personal Interview and Briefing,

- a. In section a., the interviewer will initial when the required interview and briefing are conducted and the results are satisfactory.
- b. In section b., for initial PRP assignment the individual will sign, date, and initial column 1. When rescreening, the individual will initial in columns 2-7.
- 4. Part IV Proficiency. Personnel who perform modifications, retrofit, limited life component (LLC) changes, etc., and EOD personnel and their supervisors must be qualified by a formal course of instruction and experience for the duties they are to perform. All other personnel under the PRP must be qualified by a formal course of instruction or other supervised training and experience for the duties they are to perform. In both cases, the individual must be certified as proficient by a qualified person designated in writing for the purpose. The experience requirement may be achieved by on-the-job training or during the required instructional phase. Ensure prescribed instructional requirements are met..
- a. Initial in section a, when the individual has neither experience or training and is undergoing supervised on-the-job training. Rescreen when appropriate.
- b. Initial section b. as appropriate.

NOTE: Completion of Part IV is not required when conducting preliminary screening incident to transfer to a course of instruction.

5. Part V - Certificate of Acceptability. Ensure all aspects of screening are accomplished, make a determination, initial the appropriate block and sign. Certification of acceptability must be made by the Commanding Officer, Executive Officer or PRP Certifying Official.

6. Administrative Termination

- a. A certification of acceptability will be terminated administratively when an individual is reassigned within the same command from a PRP position to a non-PRP position for reasons that are not disqualifying. Enter "Administratively Terminated" in the next succeeding column of OPNAV 5510/414 (leaving all blocks blank).
- b. The official signing the administrative termination shall be the Certifying Official.
- c. When an individual receives Permanent Change of Station (PCS) orders, the administrative termination is automatic. Entry on the OPNAV 5510/414 is not required.

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CONTINUOUS EVALUATION

- 1. Individuals assigned to PRP positions or in ratings requiring PRP eligibility are subject to a proactive continuous evaluation of their reliability. The responsibility for ensuring continuous eligibility rests with everyone at the command. The individual assigned to the PRP position must apprise the certifying official anytime a potential problem develops. The individual's co-workers must report any incident or behavior which raises a question regarding the individual's acceptability for PRP duties. The individual's supervisor must monitor performance and behavior and report any potential problems to the certifying official. The certifying official is responsible for ensuring that all military, civilian, and contractor personnel assigned to PRP positions meet the requirements of the continuous evaluation process.
- a. The primary consideration for certifying officials should be that the sum of the observation, both personal and through supervisor and peer observation and reporting, is sufficiently detailed to provide a thorough evaluation of the individual. The minimum standard for continuous evaluation requires routine observation for at least 12 working days per month with no more than 14 days between observations (excluding periods of administrative absence (leave/pass/temporary duty, TDY, or TAD)). While observation within the PRP environment should be primary, additional observation during duty as a Government civilian employee, a private contractor of the Government, a military service member or a combination of these can also serve to add to the certifying official's evaluation.
- b. The certifying official must ensure that everyone understands the reporting requirements and must proactively resolve all reported and observed inconsistencies with PRP standards. Individuals certified under PRP must be aware and agree that certain information/materials concerning their activities (medical, mental health, police, and employment records, credit reports, etc) both on and off duty are to be provided for review voluntarily to certifying officials, CMAs, inspectors and that failure to provide the requested materials may result in suspension or decertification.

- c. Certification for personnel who do not meet the 12 days minimum per month with no more than 14 days between observations requirement, but whom the certifying official believes should be certified, requires evaluation and approval by CNO (N09N2). Requests will be forwarded via the chain of command to CNO (N09N2) for consideration. Certifying officials will include a specific plan outlining the procedures developed to provide for the equivalent level of confidence as would be available through regular day-to-day contact and peer reporting. A copy of this plan and the CNO (N09N2) approval letter will be filed in the Security Manager's Security Clearance/Access Information (Case) Files and will retained for the duration of the individual's assignment to these duties. This provision does not apply to the certification of certifying officials, who must meet the minimum standards for continuous evaluation.
- d. For periods in which a PRP-certified individual was not subject to the minimum continuous evaluation, the certifying official must ensure that the individual's reliability during these times meets the requirements of this regulation. The certifying official will employ additional means and methods sufficient to assist in that determination, e.g., an additional personal interview, periodic medical records review, additional drug screening, contact with civilian employer for reserve personnel or previous supervisor, service/personnel records review, periodic criminal records/history checks, credit checks, etc. When selected randomly for drug screening, personnel will be required to submit to testing upon return to duty.
- 2. The certifying official will insure that the OPNAV 5510/415, "Record Identifier For Personnel Reliability Program", is conspicuously placed on top of the permanent side of personnel or security folders and medical records to identify all individuals who are in the PRP and to alert personnel and medical administrators of the need to report to the certifying official any information being included in these records that could affect the individual's PRP eligibility.

- 3. Security Reinvestigation. All military, civilian, and contractor personnel assigned to PRP positions will be reinvestigated every 5 years. The DON CAF will forward all derogatory information developed on PRP certified individuals to the appropriate command security manager who will provide the information to the command's certifying official. The certifying official will review this information and determine if the individual's reliability is affected and conduct a rescreening as appropriate.
- 4. Medical Evaluation. Each time a PRP-certified individual visits a healthcare provider including a Proper Medical Authority (PMA), all potentially disqualifying information regarding medical treatment, care or information that could adversely affect their performance, effectiveness or safety will be referred to a CMA for evaluation, documented in the individual's health record and reported to the certifying official. PRP certified civilians and contractors visiting their private healthcare provider are also required to inform their supervisor or certifying official of any potentially disqualifying information regarding medical treatment, care or, other information that could affect PRP eligibility, and provide documentation as appropriate.
- a. When the CMA (or dentist when dental treatment is involved) determines that an individual's duty performance may be impaired by medical care or the use of prescribed medication, the certifying official will be notified to determine if the individual should be suspended from nuclear weapon duties for the period of medical care or use of medication. It is not the intent of the PRP to automatically decertify an individual who has an illness, injury, or disease that requires hospitalization, sick in quarters, or extended leave of absence unless the condition is diagnosed to be of a long-term or permanent nature, or is otherwise disqualifying by PRP standards. (Enclosure (6) provides guidance regarding suspension and decertification actions.)
- b. When a military member in the PRP has received non-military medical or dental treatment (including Civilian Health and Medical Program of the Uniformed Services referrals), the

competent medical authority shall review and determine the effect of the care on the individual. The results of that evaluation will be recorded in the individual's medical records and the certifying official will be notified to determine if a suspension or decertification action is warranted.

- c. PDI previously addressed by a CMA (which has been documented) need not be re-addressed or documented.
- 5. SUBSTANCE ABUSE TESTING. Because of the possible danger to public health, safety and/or U.S. national security attributable to the failure of an individual to reliably perform PRP duties, mandatory random substance abuse testing of all military, civilian, and contractor personnel assigned to PRP duties is required. Further, substance abuse testing for personnel assigned to specially designated NC2 PRP positions will be administered before the individual assumes those duties, and periodically thereafter.
- INDIVIDUAL AND SUPERVISOR RESPONSIBILITIES. Individuals assigned to PRP duties are responsible for monitoring their own reliability and the reliability of others performing PRP duties. The failure to discharge those responsibilities may cast doubt on an individual's reliability. During personal interviews, certifying officials will make individuals aware of how problems, concerns, and circumstances may reduce individual effectiveness and impair capability or reliability. Individuals will advise their supervisors or certifying official of any factors that could have an adverse impact on their performance, reliability, or safety while performing PRP duties. Individuals will inform support agencies of their active PRP status before treatment or consultation and will inform their supervisor or certifying official when another individual in the PRP appears to be involved in situations that may affect reliability. Supervisors are responsible for monitoring the reliability of their subordinates and will notify the certifying official of any potentially disqualifying information. An individual in PRP duties will be identified to all co-workers so that information raising questions

about that individual's judgment or reliability can be reported and acted upon without delay.

- CRIMINAL INVESTIGATION OF INDIVIDUALS IN THE PRP. Reference (g) requires the Naval Criminal Investigative Service (NCIS) to notify the "Immediate Senior In Command" (ISIC) when a member is under investigation. It is incumbent upon the ISIC to apprise the certifying official of an individual in the PRP who is under investigation and the circumstances of the investigation. certifying official is not required to immediately suspend or decertify an individual solely because an investigation has been started. Any actions taken shall depend on the nature of the allegations and the sensitivity of the individual's PRP duties. After careful review of all the information, the certifying official may either suspend, decertify, or allow the individual under investigation to continue in PRP duties. In making that determination, nuclear surety and national security will be the primary consideration and will not be compromised to aid an investigation. Therefore, regardless of the status of the investigation, when nuclear safety or security is determined to be in jeopardy, the certifying official will immediately remove the individual from the PRP.
- 8. CONTRACTOR PRP CONTINUING EVALUATION. Contractor employees who have been determined eligible and have been assigned to PRP positions will be identified to the Defense Industrial Security Clearance Office (DISCO), P.O. Box 2499, Columbus, Ohio 43216-5006. The PRP certifying official will be informed upon receipt of any information that may affect the reliability or trustworthiness of a contractor employee under the PRP and the Facility Security Officer (FSO) will submit an adverse information report to DISCO. Additionally, DISCO will forward any adverse information received on a contractor employee assigned to PRP duties, to the certifying official with a copy to the FSO.

DECERTIFICATION/REINSTATEMENT PROCEDURES

- 1. FAILURE TO MEET THE RELIABILITY STANDARDS. Any individual who fails to meet the reliability standards specified in this instruction will not be assigned to, or continued in, a PRP position or continued in training leading to a PRP assignment. Suspension or temporary/permanent decertification will proceed depending on the circumstances, character, and transitory or continuing nature of the cause. The certification of acceptability will be rescinded immediately upon the certifying official's determination that an individual no longer meets PRP standards.
- 2. <u>SUSPENSION</u>. A suspension is used to immediately remove a member from PRP duties without starting a decertification action. Although a recommendation to suspend an individual from PRP duties may come from many sources, the certifying official must evaluate the situation and determine whether suspension is appropriate. When suspended, an individual is still considered to be reliable for the PRP, but because of the circumstances, is not authorized to perform PRP duties (i.e. stress, medication, etc.).
- a. A suspension will be used only when the individual's reliability is not in question, when the problem is expected to be of short duration, and while conducting an investigation or medical evaluation to determine if a situation or incident could have an adverse effect on an individual's reliability.
- b. A suspension will include removal from PRP duties. Supervisory personnel will be advised of the suspension and command will take appropriate action to preclude exclusion area entry by the suspended individual.
- c. A suspension will not exceed 30 days. If the issue cannot be resolved, or if the cause of the suspension lasts longer than 30 days, the individual will be temporarily decertified until the issue is resolved and the individual is either returned to PRP duties or permanently decertified.

3. TEMPORARY DECERTIFICATION

- Temporary decertification from the PRP will occur when an individual needs to undergo a period of observation and evaluation for compliance with the PRP reliability standards. appropriate when disqualifying traits or conduct are present (enclosure (3)), when a medical or psychiatric evaluation is incomplete, or when an individual is undergoing civilian court or courts-martial proceedings or anytime when the certifying official has information that could be expected to affect an individuals job performance or reliability, and a suspension is not appropriate. When the disqualifying factors or significant derogatory information would also impact on the individual's security clearance eligibility, the unfavorable information will be provided to the command security manager for DON CAF notification. Temporary decertification will be restricted to cases that qualify because of the transitory or indeterminate nature of the cause. Temporary decertification will not be used if the facts dictate permanent decertification. Individuals removed temporarily will be notified in writing within 15 working days by the certifying official, indicating the reasons for temporary decertification, unless returned earlier to PRP duties.
- b. Individuals who are temporarily decertified are immediately removed from PRP duties. The commanding officer or activity head and supervisory personnel will be notified of the temporary decertification and the individual will be removed from PRP duties and authorization lists until the temporary decertification is removed.
- c. The certifying official will expeditiously collect information essential to a decision regarding the termination of the temporary decertification or advancement to a permanent decertification. In the event of suspected alcohol or drug abuse, or other physical, mental or emotional condition, the information will include a medical evaluation by competent medical authority.

- d. Appropriate administrative procedures must be established to ensure that a temporary decertification is changed to either a permanent decertification or removed prior to an individual's permanent reassignment to another duty station, separation, discharge, or retirement. If an individual must be reassigned because of medical or other emergency situation prior to a final decision on the temporary decertification, the transferring command will furnish the gaining command with a complete report of the circumstances surrounding the temporary decertification including specific reasons why the individual is being reassigned in a temporarily decertified status. All other reassignments, including Permanent Change of Station (PCS) orders, will be held in abeyance or canceled until temporary decertification issues are resolved.
- e. The duration of a temporary decertification will not normally exceed 180 days. However, temporary decertifications may be extended in 30-day increments not to exceed 270 days provided the extension and reasons for extension are documented. In cases where courts-martial or civil court proceedings are in progress, the certifying official will evaluate the underlying conduct or incident that prompted the temporary decertification.
- f. If the cause of temporary decertification is corrected and the member is determined to be suitable, rescreening and recertification procedures apply.
- g. Temporary decertification letters are not forwarded to CNO (N09N2) or CMC (MMEA-85).
- 4. PERMANENT DECERTIFICATION. Permanent decertification is a formal determination by the certifying official and approved by the reviewing official that the individual no longer meets the reliability standards provided in enclosure (3) of this instruction and that the disqualifying reasons probably will not be resolved in the near term. When a permanent decertification is deemed appropriate the following procedures apply:

- a. Notification of Decertification. Individuals who are determined by the certifying official to no longer meet the reliability standards will be permanently decertified. The certifying official will notify the individual concerned, in writing, within 15 days of the determination. The written notification must provide the individual with the reason(s) for this contemplated action, must give the individual the opportunity to make a statement on his/her behalf, and must inform the individual that the case, including his/her statement, will be forwarded to the reviewing official for a final decision. Notification of the contemplated action (or final decision) need not be given to an individual who is absent without authority or who is incarcerated because of conviction of a criminal offense by civil court or court martial.
- b. <u>Final Decertification</u>. The reviewing official has 15 days to consider any statements made by the individual and make a final decision. The reviewing official shall notify the individual and the certifying official of the findings within 15 days. Once the reviewing official concurs with the permanent decertification the following administrative requirements apply:
- (1) The OPNAV 5510/415 will be removed from all personnel, security and medical records and the permanent decertification will be documented on the individual's OPNAV 5510/414.
- (2) If a member is decertified for medical reasons, competent medical authority will annotate the SF-600 to read "Not qualified for assignment to nuclear weapons position by reason of (include reason(s))."
- (3) The final permanent decertification letter will be prepared as detailed in paragraph 5 below. A copy will be provided to the individual.
- c. In most cases of permanent decertification from the PRP, a concurrent assessment by the DON CAF of the underlying issue will

be necessary to determine the individual's continued eligibility for a security clearance. The underlying security significant information must be reported to the DON CAF by the command security manager. The decertification letter will state that the security manager has been notified of all security significant information for report to the DON CAF.

- LETTER OF PERMANENT DECERTIFICATION. A letter describing the facts and circumstances surrounding each case of permanent PRP decertification will be submitted to CNO (N09N2) for Navy military, civilian and contractor personnel and to CMC (MMEA-85) for Marine Corps military personnel with a copy to CNO (NO9N2). The format is provided as appendix A to this enclosure. command will identify itself by title and Unit Identification Code (UIC)/Reporting Unit Code (RUC). The letter will include a concise but complete statement of facts surrounding the decertification, a statement by the member acknowledging receipt of notification of contemplated decertification, relevant medical information, and any action taken, proposed or recommended with respect to: disciplinary action, administrative separation, change in MOS, change in rating, change of NEC, and disposition of the member. The certifying official will notify the command security manager of any potentially disqualifying security clearance information. It is then the command security manager's responsibility to notify the DON CAF via OPNAV 5510/413. CAF referred action will be noted on the letter of permanent decertification. The letter of permanent decertification will be made a permanent part of the permanent personnel record for military members and will be filed in the OPF for civilian employees. The procedures for decertification of contractor employees are provided in enclosure (7).
- 6. <u>DISPOSITION OF PERSONNEL PERMANENTLY DECERTIFIED FROM THE PRP</u>. A decertification action is not punitive and does not, in itself, constitute grounds for disciplinary measures. However, neither is it a bar to any disciplinary or administrative measures otherwise

deemed appropriate for the underlying issues, which caused the decertification.

- a. An individual who has been permanently decertified from the PRP must be removed from PRP duties.
- b. Further administrative or disciplinary action such as rating conversion must proceed in accordance with appropriate regulations.
- 7. In rare cases an individual who is initially suspended or temporarily decertified may be permanently decertified without their acknowledgment when the suspension or temporary decertification was for serious physical injury or medical condition resulting in a permanent disability, medical retirement, or termination, and obtaining the individual's acknowledgment is determined upon consultation with CMA to be detrimental to the individual's health. The Reviewing Official will determine when permanent decertification without the individual's acknowledgment is warranted, and ensure the procedures are not employed merely to circumvent normal permanent decertification procedures.
- 8. REINSTATEMENT OF PERMANENTLY DECERTIFIED PERSONNEL. When sufficient justification exists, personnel permanently decertified from PRP duties may be returned to PRP duties provided the disqualifying problem no longer exists and a positive determination can be made that the individual currently meets prescribed reliability standards.
- a. Prior to making a recommendation for reinstatement, commanding officers must evaluate the potential for recurrence of the disqualifying condition or circumstances prior to a recommendation for reinstatement. This evaluation may include consultation with competent medical authority and will include a records review.

- b. Although clearance eligibility is not specifically a PRP requirement, most PRP duties are sensitive and require access to classified information. When a command pursues reinstatement, it is considered prudent to resolve any questions regarding security clearance eligibility with the DON CAF, to avoid reinstating an individual who will not be permitted to perform the required classified nuclear weapons duties. Requests for reinstatement should include clearance eligibility information.
- c. Requests for reinstatement will be submitted to CNO (N09N2) for Navy military and civilian personnel and CMC (MMEA-85) for Marine Corps military personnel, via the chain of command and will include detailed supporting justification. Justification will provide a thorough summary enumerating the decertification issues and will include the type of duty assignment proposed. CNO (N09N2) will consult with COMNAVPERSCOM (PERS-08) and will make a final determination as to the individual's eligibility for reinstatement into the PRP. Requests for reinstatement may be disapproved at any level in the chain of command.
- In the case of submarine disqualified enlisted personnel seeking both reinstatement into the PRP and submarine service requalification, only one reinstatement request package is required. The reinstatement request will be forwarded and endorsed via the chain of command to CNO (N09N2) for the PRP determination, with a copy to COMNAVPERSCOM (PERS-403) for the submarine duties determination. In addition to requirements specified in applicable type commander instructions for submarine reinstatement, the request must specifically include a detailed supporting justification including the type of duty assignment proposed. Enlisted members requesting submarine and PRP reinstatement who were force-converted to other ratings must also include a request for reinstatement of their original rating, if desired. CNO (NO9N2) will consult with PERS-08 and will make a final determination as to the individual's eligibility for reinstatement into the PRP. PERS-403 will then make the final determination as to the member's eligibility for rating and

submarine service reinstatement. Requests for reinstatement may be disapproved at any echelon in the chain of command.

e. Individuals approved for reinstatement in the PRP must undergo complete rescreening and recertification.

FOR OFFICIAL USE ONLY (When filled in)

5521 Code Date

From: (Command Title, including UIC/RUC)

To: (Chief of Naval Operations (N09N2)-or-(Commandant of the

Marine Corps (MMEA-85))

Subj: (RANK/RATE/GRADE) (FULL NAME), (SSN); PERSONNEL

RELIABILITY PROGRAM DECERTIFICATION

Ref: (a) SECNAVINST 5510.35A

Encl: (1) (Subject's initial statement, or declination to make a statement in response to notice and reasons for the contemplated action)

- (2) (Medical data furnish when decertification is substantiated by competent medical authority)
- 1. Subject named individual has been permanently decertified for duty under the Personnel Reliability Program according to the provisions of reference (a).
- 2. The foregoing action is based on the following: (Furnish a complete and concise statement of facts surrounding the decertification and the reason(s) for this action, including specific details as appropriate. For drug abuse, indicate type of drugs used and scope of involvement. Format and references may vary to fit a particular case.)
- 3. Action taken or contemplated: (Indicate any action taken, proposed, or recommended with respect to disciplinary action, administrative separation, change in Military Occupational Specialty (MOS), change in rating, change of Navy Enlisted Classification (NEC), disqualification for submarine duty, and disposition of the individual, indicating the desires of the individual concerned. Indicate if access to classified information has been suspended.) Indicate that the "Security Manager has been notified of all security significant information for report to the DON CAF."

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SECNAVINST 5510.35A 26 June 2002

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Subj: (RANK/RATE/GRADE) (FULL NAME), (SSN); PERSONNEL RELIABILITY PROGRAM DECERTIFICATION

4. Procedural safeguards: (Include a paragraph reflecting whether compliance with required procedural safeguards has been accomplished. This paragraph should report that the individual: (1) was given notice in writing of the proposed action and the reasons therefore; (2) was provided an opportunity to make a statement; and (3) was notified that the case would be reviewed by the Reviewing Official and a decision made at that level. Forward any such statement made by the member or his/her declination to make a statement as enclosure (1). (Note: There is no longer a requirement to assure Article 1110 rights in conjunction with a decertification action.)

Signature (Reviewing Official)

Copy to:
Individual concerned
Appropriate chain of command

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Appendix A to Enclosure (6)

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5521 Code Date

MEMORANDUM

From: Personnel Reliability Program Certifying Official To: (Rate/rate/grade), (full name), (service), (SSN)

Subj: NOTIFICATION OF PERMANENT DECERTIFICATION FROM THE

PERSONNEL RELIABILITY PROGRAM (PRP)

Ref: (a) SECNAVINST 5510.35A

- 1. Under reference (a), you are hereby notified you are being permanently decertified from the Personnel Reliability Program.
- 2. The reason(s) for this action is (are): (State specific reason(s) for the contemplated action. Refer to enclosure (3) of reference (a) reliability standards and disqualifying standards.)
- 3. You have the opportunity to make a statement on your behalf. Your statement must be addressed to the Reviewing Official and presented to the Certifying Official (as soon as possible). Your case, including any statement you make, will then be forwarded to the Reviewing Official (Commanding Officer) for the final decision.

Signature (Certifying Official)

Copy to:

Reviewing Official

ACKNOWLEDGING ENDORSEMENT

Date:

From: SNM

To: Personnel Reliability Program Certifying Official

1. I have read the notice of intent to permanently decertify me from the Personnel Reliability Program. I understand that my case will be forwarded to the Reviewing Official for a final determination. I DO/DO NOT (omit one) desire to make a statement on my behalf.

Appendix A to Enclosure (6)

CONTRACTOR REQUIREMENTS FOR THE PRP

- 1. Contractor employees may perform nuclear weapons duties only on those specific DON installations or cleared contractor facilities authorized by the Secretary of the Navy's designee (CNO (N09N2)).
- 2. The criteria set forth below will be incorporated into all contracts either via the "CONTRACT SECURITY CLASSIFICATION SPECIFICATION" (DD Form 254) or included as a contract provision and identified as requirements of the PRP;
- a. DON contractors must meet all the PRP requirements established by this instruction.
- b. Contractor employees performing services in PRP positions must meet PRP reliability standards and investigative requirements of this instruction.
 - c. Contracting facilities must:
- (1) Request the required investigations to support PRP determinations. These requests will be processed through the command element for endorsement and forwarded by the Facility Security Officer (FSO) to the Defense Industrial Security Clearance Office (DISCO) P.O. Box 2499, Columbus, OH 43216-5006.
- (2) Periodically instruct managerial, supervisory, medical, and other contractor personnel of the purpose, standards, and procedures of the PRP. Periodically instruct and inform each employee assigned to work in a PRP position that the assignment is subject to the standards and procedures of the PRP.
- (3) Ensure that employees assigned or scheduled to be assigned to a PRP position undergo a medical examination and evaluation, are subject to random urinalysis testing, and provide the certifying official with results for evaluation. Ensure contractor personnel assigned to NC2 PRP positions are subject to periodic CSP testing. Immediately report any adverse information about such employee(s), relevant to the standards for assignment under the PRP, to the certifying official.
- (4) Provide for sufficient observation by contractor supervisory personnel of employees assigned to PRP positions, except when such employees are assigned to a DON command under the direct supervision of DON personnel in which case the

certifying official will be responsible for such observation.

- (5) Assign to the duties of a PRP position only those employees eligible, according to the certifying official, under the standards of the PRP.
- (6) Temporarily remove an employee from a PRP position immediately upon receipt of information that is, or appears to be, reason for decertification from assignment under the standards of the PRP.
- (7) Notify the certifying official immediately of temporary removal and the reasons therefor.
- (8) Notify appropriate activities so that entry authority and access lists may be updated to bar decertified personnel.
- (9) Immediately remove an employee from a PRP position upon notification by the certifying official that the employee has been temporarily decertified.
- (10) Reassign the employee when notified by the certifying or reviewing official that the employee has failed to meet reliability standards or has been permanently decertified.
- (11) Provide DISCO a list of all contractor employees assigned to PRP positions. Update lists as warranted. The lists will include full name and social security account number, plus name and address of the employing contractor facility and the name, address, and Defense Switched Network (DSN) telephone number of the certifying official.
- (12) Provide all PRP permanent decertification actions to CNO (N09N2) and submit an adverse information report with a copy of the permanent decertification to DISCO for inclusion and retention in the permanent record.

INSTRUCTIONS FOR PREPARATION OF ANNUAL STATUS REPORTS FOR PRP

- 1. Every Navy command with a PRP (including DON schools who conduct pre-screenings for PRP eligibility) will submit an annual PRP status report to CNO (N09N2), to be $\underline{\text{received}}$ no later than 15 January.
- 2. Every Marine Corps command with a PRP will submit an annual PRP status report to CNO (NO9N2) with a copy to CMC (POS-30), to be received no later than 15 January.
- 3. Commands who close out their PRP programs will also submit an annual PRP report at the time of close out.
- 4. Reports will be mailed to: CNO (N09N2), 2000 Navy Pentagon, Washington, DC 20350-2000 or faxed to (202) 433-8849, DSN 288-8849.
- 5. These reports will include (for the preceding year ending December 31 or the date of close out), the requested information according to the attached format.
- 6. Statistics will be provided under the column that indicates the command or activities geographical base of operations (i.e. Continental United States, Europe, and Pacific regions). U.S. Navy ships will provide reportable information under the U.S. category.
- 7. Since decertifications generally include more than one issue, the decertification action should be accounted for once under the predominant issue only.
- 8. Members screened for PRP eligibility at DON schools may use the "Remarks" section to identify personnel "disqualified" prior to PRP certification.

PRP ANNUAL STATUS REPORT

The annual status report shall include, for the preceding calendar year ending December 31, PRP certification and permanent decertification statistics by command and category of personnel (e.g., military; Federal civilian; and defense contractor). A recommended format is provided below.

DON NUCLEAR WEAPON PERSONNEL RELIABILITY PROGRAM ANNUAL STATUS REPORT

<u>For</u> Calendar Year Ending December 31, _

Vavy Command:

	<u>U.S.</u>		Europe		Pacific		<u>Total</u>	
	<u>Critical</u>	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled
Total number	of certified pe	rsonnel in the	PRP					
Active Duty								
Military								
Civilians								
Contractors								
Reserves								
<u>TOTAL</u>								

	U	.S.	Eu	rope	Pa	Pacific		otal
	Critical	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled
Total number	of permanent	t PRP decertifi	cations					
Active Duty								
Military								
Civilians								
Contractors								
Reserves								
Type of decerti	ifications							
Alcohol Abuse								
Active Duty								
Military								
Civilians								
Contractors								
Reserves								
Drug Abuse								
Active Duty								
Military								
Civilians								
Contractors								
Reserves								
Negligence or o	delinquency i	n performance	of duty					
Active Duty								
Military								
Civilians								
Contractors								
Reserves								
Conviction by				ffense; a patte	rn of behavio	or indicative of	f a contempto	ous attutude
toward the law	or other dul	y constituted a	<u>uthority</u>	T		T	T	1
Active Duty								
Military								
Civilians								
Contractors								
Reserves								

	<u>U.S.</u>		Europe		Pacific		<u>Total</u>				
	<u>Critical</u>	Controlled	Critical	Controlled	<u>Critical</u>	Controlled	<u>Critical</u>	Controlled			
Any significant physical or mental condition substantiated by a competent medical authority; aberrant behavior											
considered by the certifying official as prejudicial to reliable duty performance in a PRP critical or controlled position											
Active Duty											
Military											
Civilians											
Contractors											
Reserves											
Poor attitude o	or lack of moti	<u>vation</u>									
Active Duty											
Military											
Civilians											
Contractors											
Reserves											

	Ţ	J .S	Europe		Pacific		Total	
	Critical	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled
Narcotics	·		<u> </u>					
Active Duty Military								
Civilians								
Contractors								
Reserves								
Depressants								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Stimulants								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Hallucinogens								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Cannabis								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Anabolic Steroids								
Active Duty Military								
Civilians								
Contractors								
Reserves								

Serious offense decerti	ifications by	y type						
		U.S.	Europe		Pacific		ŗ	<u> Fotal</u>
	Critical	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled
Military conviction								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Civilian conviction								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Behavior pattern								
Active Duty Military								
Civilians								
Contractors								
Reserves								
<u>Other</u>								
Active Duty Military								
Civilians								
Contractors								
Reserves								

Physical and/or mental decertifications											
		J .S.	Eu	rope	Pa	Pacific		<u>'otal</u>			
	Critical	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled			
Physical condition											
Active Duty											
Military											
Civilians											
Contractors											
Reserves											
Mental condition											
Active Duty											
Military											
Civilians											
Contractors											
Reserves											
Aberrant behavior											
Active Duty											
Military											
Civilians											
Contractors											
Reserves											
<u>Other</u>											
Active Duty											
Military											
Civilians											
Contractors											
Reserves											

Poor attitude, lack of n	notivation dec	certifications by t	type					
]	U.S.	Eu	rope	Pa	acific	Total	
	Critical	Controlled	Critical	Controlled	Critical	Controlled	Critical	Controlled
<u>Attitude</u>								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Behavior or activity								
Active Duty Military								
Civilians								
Contractors								
Reserves								
Mood or feeling								
Active Duty Military								
Civilians								
Contractors								
Reserves								
<u>Other</u>								
Active Duty Military								
Civilians								
Contractors								
Reserves								

Remarks and additional comments. Use this area to identify any other PRP screening actions.